

# Fin de la classification des marches à petits pas dans le quart de plan

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Joint work with Marni Mishna (SFU, LaBRI (2013))



# Lattice Walks in the Quarter Plane

**Given:** A set of directions  $S$

**Count:**  $q_n$  = number of lattice walks in the first quadrant

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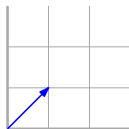
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we have:



$$q_1 = 1$$

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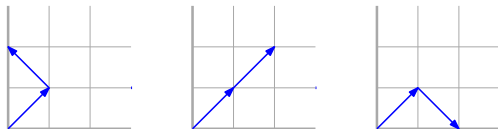
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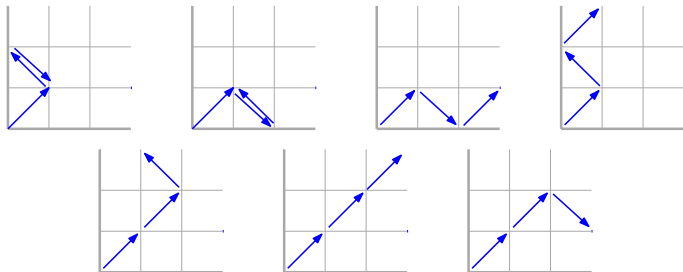
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$$q_3 = 7$$

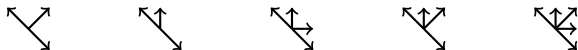
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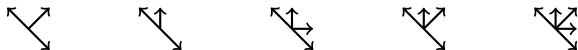


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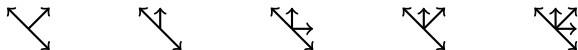
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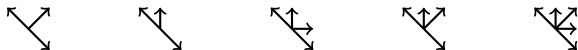
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Explicit expressions for  $Q(z) = \sum q_n z^n$ ;

Asymptotic expressions for  $q_n$ ;

Proof that  $Q(z)$  is not D-finite;

Fast calculation of  $q_n$ .

## Motivation



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These models are well suited to the **iterated kernel method**  
[van Rensburg, Prellberg, and Rechnitzer 2008].

Context: Walks with Unit Steps

## History

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will never leave the origin.

# Multivariate Generating Function

Tool: the generating function

$$Q(x, y; t) = \sum_{n, i, j \geq 0} q(i, j; n) x^i y^j t^n$$

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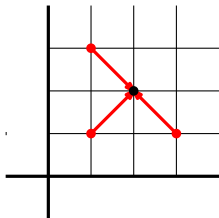


we define the *characteristic* function

$$S(x, y) := txy + \frac{ty}{x} + \frac{tx}{y}$$

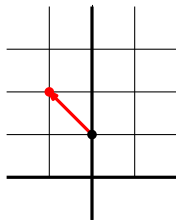
## A functional equation

$$Q(x, y; t) = 1 + S(x, y)Q(x, y; t)$$



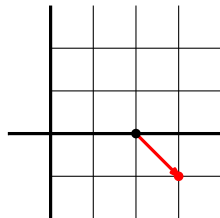
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# The Kernel Equation

Re-group terms and multiply by  $xy$  to give:

$$K(x,y) \cdot xyQ(x,y) = xy - ty^2Q(y,0) - tx^2Q(x,0) \quad (\mathcal{K})$$

where  $K(x,y) = 1 - S(x,y)$  is called the *kernel* of the walk.

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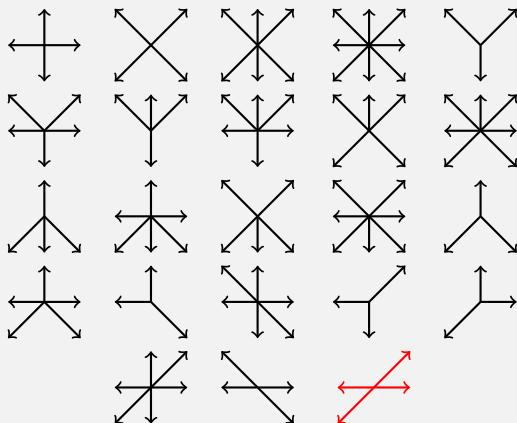
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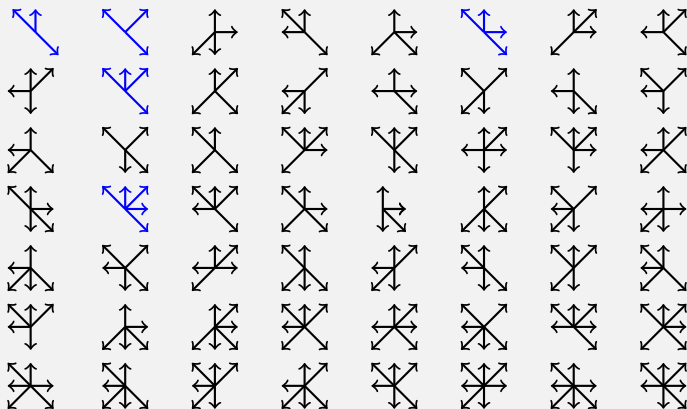
Related to a group  $G_S$  of transformations of the plane.

## Finite $|G_S|$ = D-finite GF



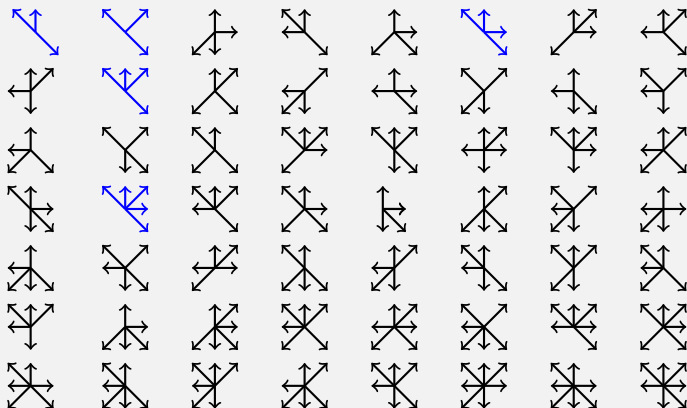
D-Finite by [Bousquet-Mélou & Mishna 2010]  
and [Bostan & Kauers 2010]

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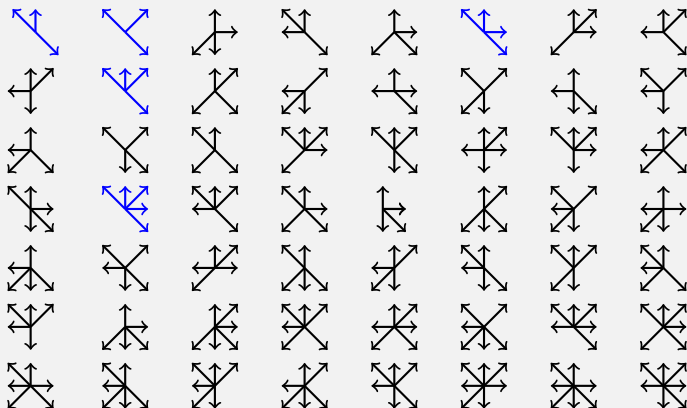
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$Q(1, 1; t)$  non D-Finite by [\[Mishna & Rechnitzer 2009\]](#)  
[\[M. & Mishna 2013\]](#)

## The Iterated Kernel Method

## An Explicit Formula

Recall, for the step set



we have the functional equation

$$xyK(x,y) \cdot Q(x,y) = xy - ty^2Q(y,0) - tx^2Q(x,0) \quad (\mathcal{K})$$

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Note that

$$Q(1,1) = \frac{1 - 2tQ(1,0)}{1 - 3t}.$$

## An Explicit Formula

Let  $Y_1(x; t)$  be the root of  $K(x, y)$  with  $Y_1 = xt + O(xt^2)$ .

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Theorem (Mishna & Rechnitzer 09; M. & Mishna 13)

*For the three symmetric singular models*

$$Q(x, 0) = \frac{1}{x^2t} \sum_{n \geq 0} (-1)^n Y_n(x; t) Y_{n+1}(x; t).$$



# Implications

## Corollary (Fast Generation)

*It is possible to calculate  $q_0, q_1, \dots, q_N$  in  $\tilde{O}(N^3)$  operations for each symmetric singular model.*

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## Corollary (Asymptotics)

*The following asymptotic estimates hold:*



$$\kappa_A 3^n + O\left(\left(2\sqrt{2}\right)^n\right)$$



$$\kappa_B 4^n + O\left(\left(1 + 2\sqrt{2}\right)^n\right)$$



$$\kappa_C 5^n + O\left(\left(1 + 2\sqrt{3}\right)^n\right)$$

*Extends results of [Fayolle & Raschel 2011].*

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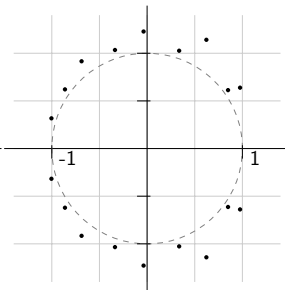
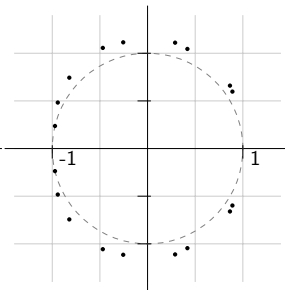
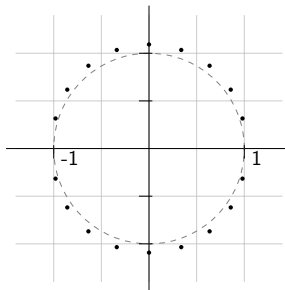
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## Proof Idea.

Each  $Y_n$  contributes a unique singularity.

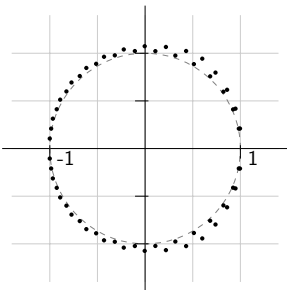
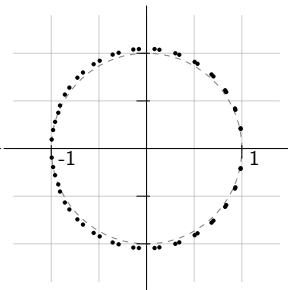
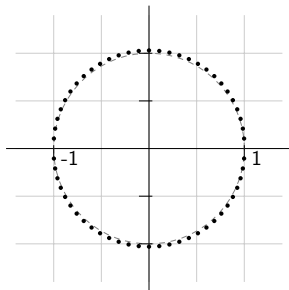


# Singularities



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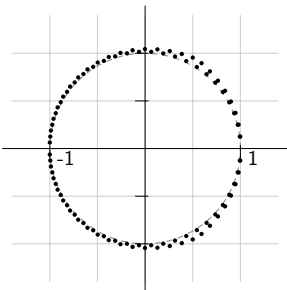
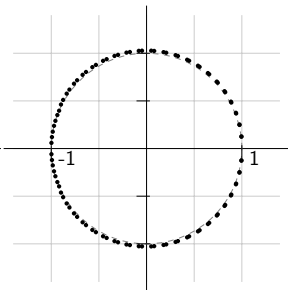
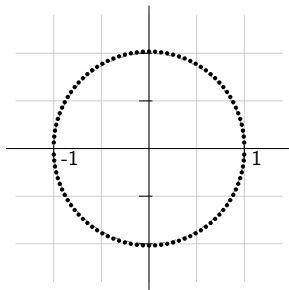
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$$n = 30$$



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Uniformization used by Kurkova, Fayolle, and Raschel gives another parametrization of  $Y_n$ .

## Uniformization

For explicit functions  $x_3, x_4, \tau$ , and  $s_0$ :

$$Y_n \left( \frac{q}{1+q^2} \right) = \frac{4x_3x_4}{x_4 - x_3} \cdot \frac{q^n \tau}{(q^n \tau - s_0)(q^n \tau - 1/s_0)}.$$

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Works even though the original method does not apply to singular walks.

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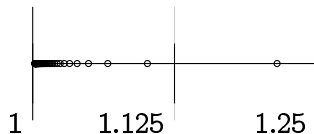
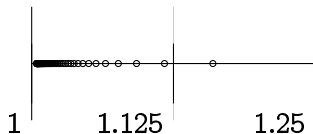
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Luckily, there are an infinite number of singularities **on the imaginary axis** – in both cases.



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Cubic time algorithm for  $q_n$ .

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Longer steps

Problem: No Uniformization

## References

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